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PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Christopher A. Miller et al

Serial No.: 10/099,746

Examiner: unknown

Filing Date: March 13, 2002

Group Art: unknown

For: SETTING AND USING POLICY GOALS IN PROCESS CONTROL

Docket No.: 1100.1154101(H0001061)

RECEIVED

JAN 23 2003

Technology Center 2100

TRANSMITTAL SHEETThe Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14th day of January, 2003.

By: _____

Brian N. Tufte

We are transmitting herewith the attached:

☒ Information Disclosure Statement, Form PTO-1449 and 38 cited references.☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: _____

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, Minnesota 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents
Washington, D.C. 20231

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By

Brian N. Ruffe

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

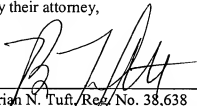
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Christopher A. Miller et al

By their attorney,

Dated: January 14, 2003



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